

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 96794 / February 2, 2023

Admin. Proc. File No. 3-21228

In the Matter of the Application of  
ALPINE SECURITIES CORPORATION  
and  
MATTHEW IVERSON  
For Review of Action Taken by  
FINRA

ORDER DISMISSING REVIEW PROCEEDING

On October 3, 2022, FINRA issued a decision denying the application of Alpine Securities Corporation (“Alpine”) for Matthew Iverson, a statutorily disqualified person, to associate with the firm as a general securities representative.<sup>1</sup> On November 2, 2022, Alpine and Iverson (together, “Applicants”) filed an application for review with the Commission. Acting pursuant to delegated authority, our Office of the General Counsel issued an order scheduling briefs on December 7, 2022. The order directed Applicants to file their opening brief in support of the application for review by January 6, 2023. The order also reminded Applicants that, pursuant to Rule of Practice 180(c),<sup>2</sup> “failure to file a brief in support of the application may result in dismissal of this review proceeding.”

Applicants have not filed their opening brief. On January 18, 2023, FINRA filed a motion to dismiss the application for review due to Applicants’ failure to file. Applicants had five days to respond to FINRA’s motion under Rule of Practice 154(b),<sup>3</sup> but they have not done so.

It appears that Applicants have abandoned their appeal. The Office of the Secretary should have by now received any brief that Applicants intended to timely file. FINRA’s motion

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<sup>1</sup> *Assoc. of Matthew Iverson as a Gen. Sec. Rep. of Alpine Sec. Corp.*, File No. SD-2238 (FINRA NAC Oct. 3, 2022).

<sup>2</sup> 17 C.F.R. § 201.180(c).

<sup>3</sup> 17 C.F.R. § 201.154(b).

to dismiss also put Applicants on notice that their opening brief was never received, yet Applicants did not respond to that motion. Under the circumstances, it is appropriate to dismiss this appeal.<sup>4</sup>

Accordingly, it is ORDERED that this application for review be, and hereby is, dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>4</sup> See, e.g., *McBarron Capital LLC*, Exchange Act Release No. 80499, 2017 WL 1406911 (Apr. 20, 2017) (dismissing an application for review where the applicant failed to file a brief supporting its application or respond to FINRA's motion).